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**FACSIMILE COVER SHEET**

**DATE:** December 6, 2005  
**FILE NO.:** ROC920010018US1  
**TO:** Examiner Tina Mei Seng Wong  
**FAX NO.:** (571) 273-8300  
**COMPANY:** U.S. PATENT OFFICE  
**FROM:** Leslie J. Payne, Attorney  
**PAGE(S) WITH COVER:** 9

**RESPONSE TO FINAL OFFICE ACTION DATED OCTOBER 14, 2005**

**U.S. SERIAL NO.:** 09/809,699  
**FILING DATE:** March 15, 2001  
**INVENTOR:** William James Anderl et al.  
**EXAMINER:** Tina Mei Seng Wong  
**GROUP ART UNIT:** 2874  
**CONFIRMATION NO.:** 3563

**CONFIDENTIALITY NOTE**

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Amendment/Response Transmittal

In re application of: William James Anderl et al. : Confirmation No. 3563  
 Group Art Unit: 2874 : IBM Corporation  
 Examiner: Tina Mei Seng Wong : Intellectual Property Law  
 Serial No.: 09/809,699 : Dept. 917, Bldg. 006-1  
 Filed: March 15, 2001 : 3605 Highway 52 North  
 Title: COMPACT OPTICAL TRANSCEIVERS : Rochester, MN 55901  
 INCLUDING THERMAL DISTRIBUTING  
 AND ELECTROMAGNETIC SHIELDING  
 SYSTEMS AND METHODS THEREOF

Mail Stop AF  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450  
 Sir:

Transmitted herewith for filing is an Amendment/Response in the above-identified Application.

- ☒ No additional fee is required  
☐ The filing fee has been calculated as follows:

	Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra
Total	8	- 30	= 0
Independent	5	- 9	= 0
<input type="checkbox"/> First Presentation of Multiple Dependent Claim			

Other Than Small Entity

Rate	Additional Fee
x \$50.00=	\$ 0.00
x \$200.00=	\$ 0.00
\$360.00	\$ 0.00
TOTAL	\$0.00

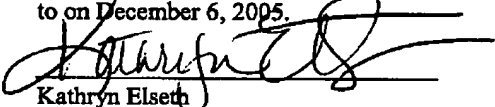
Amendment/Response Transmittal  
 Attorney Docket No.: ROC920010018US1  
 Serial No.: 09/809,699

**Deposit Account Authorization:**

- ☐ Please charge Deposit Account No. 09-0465 in the amount of \$ \_\_\_\_\_, the Additional Fee calculated above. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0465. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17

**CERTIFICATE OF MAILING  
UNDER 37 C.F.R. 1.8(a)**

I hereby certify that this correspondence is being transmitted via facsimile to 571-273-8300 at the the United States Patent Office to on December 6, 2005.

  
Kathryn Elseth

Respectfully submitted,

By: 

Leslie J. Payne, Attorney  
Attorney Reg. No.: 26,378  
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Amendment/Response Transmittal  
Attorney Docket No.: ROC920010018US1  
Serial No.: 09/809,699

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PATENT - **PROPOSED** AMENDMENT AFTER FINAL  
Response under 37 CFR 1.116  
Expedited Procedure  
Examining Group: 2874


In re application of: William James Anderl et al. : Confirmation No. 3563  
Group Art Unit: 2874 : IBM Corporation  
Examiner: Tina Mei Seng Wong : Intellectual Property Law  
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Kathryn Elseth

**RESPONSE UNDER 37 CFR 1.116**

This response addresses the issues raised in the Office Action mailed October 14, 2005, having a period for response set to expire on January 14, 2006. The following amendments and remarks are respectfully submitted.

**PROPOSED** Amendments to the Claims are reflected in the listing of claims which begin on page 2 of this paper.

Remarks begin on page 5

Conclusion begins on page 6

Docket No.: ROC920010018US1  
Serial No.: 09/809,699

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